

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q63473

Ermanno FILIPPI

Appln. No.: 09/802,925

Group Art Unit: 1764

Confirmation No.: 7234

Examiner: Nina Bhat

Filed: March 12, 2001

For: PROCESS FOR OBTAINING A HEATING FLUID AS INDIRECT HEAT SOURCE  
FOR CARRYING OUT ENDOTHERMIC REACTIONS

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. U.S. Patent No. 4,681,701 issued July 21, 1987, to Sie.
2. JP-A 62-53396 published March 9, 1987.

One copy of JP-A 62-53396 is submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is

Ermanno Filippi  
U.S. Appln. No.: 09/802,925  
INFORMATION DISCLOSURE STATEMENT

authorizing the U.S. PTO to charge the Statutory fee of \$180.00 under 37 C.F.R. § 1.17(p) to Deposit Account No. 19-4880, and a Statement Under 37 C.F.R. § 1.97(e) is being filed concurrently herewith.

It is pointed out that both JP-A 62-53396 and U.S. Patent No. 4,681,701 correspond to EP 0212755 which was previously submitted to the U.S. Patent and Trademark Office with an Information Disclosure Statement on March 12, 2001, and has been considered by the Examiner as evidenced by the PTO/SB/08 A & B signed and dated September 26, 2004. As both the European Patent and the U.S. Patent are in the English language, it is believed that no concise explanation for JP-A 62-53396 is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: December 27, 2006

Respectfully submitted,



John T. Callahan  
Registration No. 32,607

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/802,925
				Confirmation Number	7234
				Filing Date	March 12, 2001
				First Named Inventor	Ermanno FILIPPI
				Art Unit	1764
				Examiner Name	Nina Bhat
Sheet	1	of	1	Attorney Docket Number	Q63473

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 4,681,701		07-21-1987	Sie
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	62-53396		03-09-1987		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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